

FORM PTO-1449	SERIAL NO. 10/752,467	CASE NO. 6270/105
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (use several sheets if necessary)	FILING DATE January 5, 2004	GROUP ART UNIT 2125
APPLICANT(S): Douglas S. Ransom et al.		

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
<i>PM</i>	D1	5,563,506	10/08/1996	Fielden et al.		
<i>PM</i>	D2	5,644,514	07/01/1997	Abo et al.		
<i>PM</i>	D3	5,684,466	11/04/1997	Keating et al.		
<i>PM</i>	D4	6,278,357	08/21/2001	Croushore et al.		
<i>PM</i>	D5	US 2003/0067725 A1	04/10/2003	Horvath et al.		
<i>PM</i>	D6	US 2003/0098679 A1	05/29/2003	Odaohhara		

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
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## OTHER ART - NON PATENT LITERATURE DOCUMENTS

EXAMINER INITIAL		(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.
<i>PM</i>	D7	PCT International Search Report for Application No. PCT/US04/23006

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FORM PTO-1449	SERIAL NO. 10/752,467	CASE NO. 6270/150
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE January 5, 2004	GROUP ART UNIT 2131
(use several sheets if necessary)		APPLICANT(S): Douglas S. Ransom et al.

REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
<i>DM</i>	A1	4,589,075	05/13/86	Buennagel	<del>700/286</del> <del>364/492</del>	02/23/83
	A2	4,641,248	02/03/87	Suzuki et al.	<del>700/292</del> <del>364/492</del>	11/16/83
	A3	5,181,026	01/1993	Granville		
	A4	5,448,229	09/05/95	Lee, Jr.	340/870.02	12/28/92
	A5	5,459,459	10/17/95	Lee, Jr.	340/870.02	11/25/94
	A6	5,477,216	12/19/95	Lee, Jr. et al.	340/870.02	10/30/92
	A7	5,495,239	02/27/96	Ouellette	<del>700/286</del> <del>364/492</del>	08/02/94
	A8	5,517,423	05/14/96	Pomatto	<del>700/286</del> <del>364/492</del>	01/11/94
	A9	5,572,438	11/05/96	Ehlers et al.	<del>700/295</del> <del>364/492</del>	01/05/95
	A10	5,576,700	11/19/96	Davis et al.	340/825.16	08/26/92
	A11	5,680,324	10/21/97	Schweitzer, III et al.	<del>364/514</del>	04/07/95
	A12	5,699,276	12/16/97	Roos	<del>364/514</del>	12/15/95
	A13	5,736,847	04/07/98	Van Doorn et al.	324/142	12/30/94
	A14	5,764,155	06/09/98	Kertesz et al.	340/825.08	04/03/94
	A15	5,862,391	01/19/99	Salas et al.	<del>703/300</del> <del>395/750.01</del>	04/03/96
	A16	5,897,607	04/27/99	Jenney et al.	702/62	02/28/97
	A17	5,956,220	09/1999	Novosel et al.		
	A18	6,005,759	12/21/99	Hart et al.	361/66	03/16/98
	A19	6,035,285	03/07/00	Schlect et al.	705/30	03/05/99
	A20	6,088,659	07/11/00	Kelley et al.	702/62	05/21/98
	A21	6,118,269	09/12/00	Davis	324/110	04/15/97
	A22	6,167,389	12/26/00	Davis et al.	705/412	12/04/97
	A23	6,169,979	01/02/01	Johnson	705/412	02/17/98
	A24	6,178,362 B1	01/23/01	Woolard et al.	700/295	09/24/98
	A25	6,259,972 B1	07/10/01	Sumic et al.	700/286	01/16/98
	A26	6,285,917 B1	09/2001	Sekiguchi et al.		
	A27	6,313,752 B1	11/06/01	Corrigan et al.	340/657	05/21/98
	A28	6,327,541 B1	12/04/01	Pitchford et al.	702/62	06/30/98
	A29	6,535,797 B1	03/18/03	Bowles et al.	700/286	02/01/00
	A30	6,549,880 B1	04/15/03	Willoughby et al.	703/13	09/15/99
	A31	6,553,418 B1	04/22/03	Collins et al.	709/224	01/02/99
	A32	6,694,270 B2	02/2004	Hart		
	A33	2001/0010032	07/26/01	Ehlers et al.	702/62	02/12/01
	A34	2001/0039537 A1	08/08/01	Carpenter et al.	705/400	03/02/01
	A35	2002/0077729	06/20/02	Anderson	700/291	12/20/00
<i>DM</i>	A36	2002/0091784	07/11/02	Baker et al.	709/208	12/15/00

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(use several sheets if necessary)		APPLICANT(S): Douglas S. Ransom et al.

## REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
<i>DM</i>	A37	2002/0116550 A1	08/22/02	Hansen	709/330	04/17/02
<i>DM</i>	A38	2002/0120685 A1	08/29/02	Srivastava et al	709/203	04/10/02
<i>DM</i>	A39	2002/0161536	10/31/02	Suh et al.	702/62	04/13/01
<i>DM</i>	A40	2003/0176952 A1	09/18/03	Collins et al.	700/286	03/13/03

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## EXAMINER INITIAL OTHER ART - NON PATENT LITERATURE DOCUMENTS

(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.


<i>DM</i>	A41	Dallas Semiconductor "About Maxim" information sheet, obtained 9/16/2004 from <a href="http://www.maxim-ic.com/company">http://www.maxim-ic.com/company</a> , 2 pages.
	A42	Dallas Semiconductor "DS2432™ 1k-Bit Protected 1-Wire EEPROM with SHA-1 Engine", product specifications, pp. 1-30.
	A43	Questa Applications Summary Data Sheet, pp. 1-2, 07/02/02.
	A44	Tridium™ Vykon™ Energy "JACE-401™" Product Data Sheet, pp. 1-2, 09/16/02.
	A45	Tridium™ Vykon™ Energy "Vykon Energy Profiler" Product Data Sheet, pp. 1-4, 10/16/01.
	A46	Schneider Electric Square D "Web-Enabled Power Management Solutions" brochure, pp. 1-8, January 2002
	A47	Tridium™ Vykon™ Building "Vykon™ Alarm Service" Product Data Sheet, pp. 1-2, 06/20/02.
	A48	Tridium™ Vykon™ Building "JACE-512™" Product Data Sheet, pp. 1-2, 05/15/02.
	A49	Tridium™ Vykon™ Building "JACE-511™" Product Data Sheet, pp. 1-2, 05/28/02.
	A50	Vykon™ By Tridium "JACE-NP-10®" Product Data Sheet, pp. 1-2, 05/28/02.
	A51	Vykon™ By Tridium "WorkPlace Pro™" Product Data Sheet, pp. 1-2, 01/12/01.
	A52	Vykon™ Building "Web Supervisor™" Product Data Sheet, pp. 1-2, 11/30/01.
	A53	A8800-1 AcquiSuite Data Acquisition System specification, pp. 1-2, 09/24/01.
	A54	LiveData® Real-Time Data Management For Energy and Utility Companies brochure, pp. 1-4, ©copyright 2002, Rev. 01/2002.
	A55	Tridium™ Vykon™ Energy "With the Right Tools Energy Costs are Controllable..." brochure, pp. 1-8, 11/01/01.
	A56	EnergyView™ "Energy Aggregation & Information System (EAIS) for Monitoring and Analysis of Electric and Gas Demand", selected pages from an EnergyView website <a href="http://66.64.38.69/energyview">http://66.64.38.69/energyview</a> , pp. 1-4, 10/30/01.
<i>DM</i>	A57	Power Monitoring home page, obtained from <a href="http://www.parijat.com/Power_Monitoring.htm">http://www.parijat.com/Power_Monitoring.htm</a> , 10/09/02, one page.

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EXAMINER INITIAL	OTHER ART - NON PATENT LITERATURE DOCUMENTS (Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.	
PM	A58	Press Release 24 Aug. 2000 "Connect One Announces Industry's First Wireless Chip to Connect Mobile Devices to the Internet", pp. 1-2.
	A59	Press Release, "Connect One and NAMS Create the World's First Dial-up Energy Meter that Sends and Receives E-Mail Without a Gateway", September 5, 2000, pp. 1-2.
	A60	NAMS Metals by Nisko, NMM-AKB Specifications page 1 of 1, September 5, 2000.
	A61	Connect One "Automatic Meter Reading via the Internet", pp. 1-2. July 11, 2001
	A62	iModem™ "The Fastest Way to Internet-Enable any Device", pp. 1-3. July 11, 2001
	A63	ConnectOne™ Connecting your Device to the Internet™ iChip™ The Internet in your palm™, pp. 1-6. July 11, 2001.
	A64	Engage Networks Launches Energy Management Venture, obtained at internet address <a href="http://www.engagenet.com/content/business_journal.shtml">http://www.engagenet.com/content/business_journal.shtml</a> , 09/20/00. Appeared in Business Journal Feb 11, 2000.
	A65	Engage Networks Inc. "D-Gen" Distributed Generation Management brochure, pp. 1-4. Link present as of Dec 2, 1998 according to web archive at <a href="http://web.archive.org/web/19981205200934/www.engagenet.com/products.htm">http://web.archive.org/web/19981205200934/www.engagenet.com/products.htm</a>
	A66	Engage Networks Inc. "Internet Protocol Card for Revenue Meters" brochure, pp. 1-2. Link present as of Mar 3, 2000 on <a href="http://web.archive.org/web/20010306005433/www.engagenet.com/content/products.shtml">http://web.archive.org/web/20010306005433/www.engagenet.com/content/products.shtml</a> . Original document archived at <a href="http://web.archive.org/web/20030520161648/http://www.engagenet.com/datasheets/ipcard.pdf">http://web.archive.org/web/20030520161648/http://www.engagenet.com/datasheets/ipcard.pdf</a> on May 20, 2003.
	A67	Engage Networks Inc. "AEM" (Active Energy Management) brochure, pp. 1-2. Link present as of Mar 3, 2000 on <a href="http://web.archive.org/web/20010306005433/www.engagenet.com/content/products.shtml">http://web.archive.org/web/20010306005433/www.engagenet.com/content/products.shtml</a> . Original document archived at <a href="http://web.archive.org/web/20030520161850/http://www.engagenet.com/datasheets/aem.pdf">http://web.archive.org/web/20030520161850/http://www.engagenet.com/datasheets/aem.pdf</a>
	A68	David W. Giles, "Direct-Access Metering Via the Web", 1998 CEA Conference, Toronto, Canada, pp. 1-19, © 1998 Power Measurement Ltd. Conference April 27-29/1998. Presented April 29/1998
	A69	GE Industrial Systems "EnerVista.com" brochure, 09/2000.
	A70	Press release, Santa Clara, CA 09/25/2000 "Connect One Reduces Time, Cost and Complexity to Connect Internet Appliances to Ethernet Lans", obtained at internet address <a href="http://www.connectone.com/html/prisep25_2000.htm">http://www.connectone.com/html/prisep25_2000.htm</a> .
	A71	Year 2000 Rediness Disclosure Arcorn Control Systems, "Apex", obtained at internet address <a href="http://www.arcomcontrols.com/products/pcp/pcp10.htm">http://www.arcomcontrols.com/products/pcp/pcp10.htm</a> . Document archived at <a href="http://web.archive.org/web/20000530063150/www.arcomcontrols.com/products/pcp/pcp10.htm">http://web.archive.org/web/20000530063150/www.arcomcontrols.com/products/pcp/pcp10.htm</a> on May 30, 2000.
	A72	Dranetz BMI, Signature System™ "Information, Knowledge, Power" brochure. Applicants believe this reference was published prior to January 9, 2003.
PM	A73	Signature System™ Basics, obtained at internet address <a href="http://www.signaturesystem.com/sigbasics.html">http://www.signaturesystem.com/sigbasics.html</a> , 3/26/01. pp. 1-2.

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EXAMINER INITIAL	OTHER ART – NON PATENT LITERATURE DOCUMENTS (Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.	
	A74	Signature System™ InfoNodes, obtained at internet address <a href="http://www.signaturesystem.com/infonode.html">http://www.signaturesystem.com/infonode.html</a> , 3/26/01. pp. 1-2.
	A75	Questa A2B.Platform™ brochure, "Enabling conversation through universal connectivity", pp. 1-4, located at <a href="http://www.questra.com/h1_products/Questa_A2Bplatform">http://www.questra.com/h1_products/Questa_A2Bplatform</a> . Applicants believe this reference was published prior to January 9, 2003.
	A76	Questa A2B.Sales™ brochure, "Conversation to help you win", pp. 1-2, located at <a href="http://www.questra.com/h1_products/Questa_A2Bsales">http://www.questra.com/h1_products/Questa_A2Bsales</a> . Applicants believe this reference was published prior to January 9, 2003.
	A77	Power Point Presentation: Presented at "Metering Europe 2000," September 5-7, 2000, source <a href="http://www.energyict.com/fh/media/Presentation_Metering2000Munchen5.zip">http://www.energyict.com/fh/media/Presentation_Metering2000Munchen5.zip</a> , pp. 1-37.
	A78	Brochure, RTU+Server, source <a href="http://www.energyict.com/fh/media/tru_plus_server.pdf">http://www.energyict.com/fh/media/tru_plus_server.pdf</a> , pp. 1-3, 4/6/01. Document archived at <a href="http://web.archive.org/web/20020702060538/http://www.energyict.com/fh/media/tru_plus_server.pdf">http://web.archive.org/web/20020702060538/http://www.energyict.com/fh/media/tru_plus_server.pdf</a> Feb 7/2001. Link present on <a href="http://web.archive.org/web/20010207201520/www.energyict.com/fa/en_ab_frame.htm">http://web.archive.org/web/20010207201520/www.energyict.com/fa/en_ab_frame.htm</a> on or before Feb 7/2001.
	A79	Brochure, EiServer, The Energy Information server, source <a href="http://www.energyict.com/fh/media/EiServer.pdf">http://www.energyict.com/fh/media/EiServer.pdf</a> , pp. 1-7, 7/14/00. Link present on or before Feb 7, 2001.
	A80	Advertisement, EiServer and RTU+Server, source, Metering International - 2001 Issue 1, page 19, publish date 1 <sup>st</sup> Quarter 2001, p. 1.
	A81	Article, "Providing Tomorrow's Energy Management and Metering Tools Today," source, Metering International - 2001 Issue 1, page 18, publish date 1 <sup>st</sup> Quarter 2001, p. 1.
	A82	"Email relating to the general technology of the application received from a competitor", from Erich W. Gunther [mailto:erich@electrotek.com] to Brad Forth@pml.com sent 5/9/01 3:36pm, pp. 1-2.
	A83	Electro Industries Press Release "Nexus 1250 High-Performance Power Monitor Gains Ethernet TCP/IP Capabilities," obtained at internet address <a href="http://www.electroindustries.com/feb5.html">http://www.electroindustries.com/feb5.html</a> , Feb. 5, 2001 pp. 1-2.
	A84	Electro Industries "Nexus 1250 for Industry and Utilities," brochure, obtained at internet address <a href="http://www.electroindustries.com/pdf/nxsbrochure.pdf">http://www.electroindustries.com/pdf/nxsbrochure.pdf</a> pp. 1-14 printed 06/01/01.
	A85	NetSilicon Solutions on Chip "NET+ARM™ Ethernet Processors" brochure, obtained at internet address <a href="http://www.netsilicon.com/EmbWeb/products/netarm.asp">http://www.netsilicon.com/EmbWeb/products/netarm.asp</a> , pp. 1-2, Copyright© 2001 NetSilicon, Inc. Document archived <a href="http://web.archive.org/web/20010719171514/www.netsilicon.com/embweb/products/netarm.asp">http://web.archive.org/web/20010719171514/www.netsilicon.com/embweb/products/netarm.asp</a> on July 19/2001 on July 19, 2001
		A86

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<i>mm</i>	A87	IReady® "Technology iReady's Hardwired TCP/IP Stack," homepage, obtained at internet address <a href="http://www.iready.com/">http://www.iready.com/</a> , p. 1, ©2000-2001 IReady corporation, printed 06/01/01. First publishes as early as March 1, 2001. <a href="http://web.archive.org/web/20010515202409/http://www.iready.com/">http://web.archive.org/web/20010515202409/http://www.iready.com/</a> link on right side to "technology/index.html" captured March 15, 2001.
<i>[Handwritten line]</i>	A88	muNet News & Events Press Releases "muNet makes cable industry debut with its WebGate™ Internet Residential and Commercial Information Systems, and HomeHeartBeat", <a href="http://www.munet.com">http://www.munet.com</a> , pp. 1-2, 12/15/99.
	A89	muNet News & Events Press Releases "muNet's WebGate™ Systems Finds a Home on the Internet!" <a href="http://www.munet.com">http://www.munet.com</a> , pp. 1-2, 03/18/99.
	A90	webGate™ IRIS Technology, products brochure pp. 1-9. Applicants believe this reference was published prior to January 9, 2003.
	A91	MuNet News & Events Press Release "muNet Demonstrates End-to-Enc IP-Based Energy Management System at DistribuTECH," Feb. 5, 2000, pp 1-2.
	A92	WebGate™ IRIS™ "Internet Residential Information System," p. 1 of 1 Published in Energy IT November/December 2000 Technology Info Center. See <a href="http://www.platts.com/infotech/issues/0011/eittic0011.shtml">http://www.platts.com/infotech/issues/0011/eittic0011.shtml</a> for this reference - search for munet.com.
	A93	WebGate™ ICIS™ "Internet Commercial Information System," p. 1 of 1 Published in Energy IT November/December 2000 Technology Info Center. See <a href="http://www.platts.com/infotech/issues/0011/eittic0011.shtml">http://www.platts.com/infotech/issues/0011/eittic0011.shtml</a> for this reference - search for munet.com.
	A94	F. Momal, C. Pinto-Pereira, "Using World-Wide-Web for Control Systems" Abstract, AT Division CERN, 1211 Geneva 23, 6 pages. Published 1995.
	A95	P. M. Corcoran, J. Desbonnet and K. Lusted "THPM 14.2 "CEBus Network Access via the World-Wide-Web" Abstract, ©1996 IEEE, pp. 236 & 237.
	A96	ATI Systems, "Technical Overview Ethernet SCAN II™ Module" specification, October 1994, 2 pages.
	A97	J. Hofman, "The Consumer Electronic Bus: An Integrated Multi-Media LAN for the Home", International Journal of Digital and Analog Communication Systems, Vol. 4, 77-86 (1991), ©1991 by John Wiley & Sons, Ltd.
	A98	Lucent Technologies "AT&T Forms Expert Team to Design Utility Industry Solutions, press release, Monday, January 23, 1995, pp. 1&2.
	A99	Newsbytes Inc., Tampa, FLA, April 13, 1995 pNEW04130013 "TECO & IBM - The "Smart House" Is Here" Press release, obtained at <a href="http://filebox.vt.edu/users/mikemike/smart-house/infotrac/article4.txt">http://filebox.vt.edu/users/mikemike/smart-house/infotrac/article4.txt</a> , 7/11/02, pp. 1&2
<i>mm</i>	A100	Distributed.Net, <a href="http://n0cqi.distributed.net/faq/cache/178.html">http://n0cqi.distributed.net/faq/cache/178.html</a> , printed June/10/2003. Link archived at <a href="http://web.archive.org/web/20000901052607/n0cqi.distributed.net/faq/cache/178.html">http://web.archive.org/web/20000901052607/n0cqi.distributed.net/faq/cache/178.html</a> on Sept 01, /2000.

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<i>PM</i>	A101	Questa, Security overview, obtained <a href="http://www.questra.com/products/security.asp">http://www.questra.com/products/security.asp</a> , printed June 10, 2003. 2 pages. Applicants believe this reference was published prior to January 9, 2003.
<i>1</i>	A102	Questa Mastering Smart Security brochure, 2 pages Copyright 2002 Questa Corporation, obtained <a href="http://www.questra.com/collateral/collateral_files/SecurityOverview.pdf">http://www.questra.com/collateral/collateral_files/SecurityOverview.pdf</a> , printed June 10, 2003. Applicants believe this reference was published prior to January 9, 2003.
	A103	Questa Total Access brochure, 2 pages, Copyright 2003 Questa Corporation, obtained <a href="http://www.questra.com/collateral/collateral_files/TotalAccess.pdf">http://www.questra.com/collateral/collateral_files/TotalAccess.pdf</a> , printed June 10, 2003. Applicants believe this reference was published prior to January 9, 2003.
	A104	Axeda DRM System Overview, 2 pages, obtained <a href="http://www.axeda.com/solutions/overview.html">http://www.axeda.com/solutions/overview.html</a> , printed June 9, 2003. Applicants believe this reference was published prior to January 9, 2003.
	A105	Axeda Access, 3 pages, obtained <a href="http://www.axeda.com/solutions/portals/portal_access.html">http://www.axeda.com/solutions/portals/portal_access.html</a> , printed June 9, 2003. Applicants believe this reference was published prior to January 9, 2003.
	A106	Axeda DRM Technology Overview, 1 page, obtained <a href="http://www.axeda.com/solutions/tech_challenges/firewallfriendly.html">http://www.axeda.com/solutions/tech_challenges/firewallfriendly.html</a> , printed June 9, 2003. Applicants believe this reference was published prior to January 9, 2003.
	A107	Axeda DRM Technology Overview, 1 page, obtained <a href="http://www.axeda.com/solutions/tech_challenges/index.html">http://www.axeda.com/solutions/tech_challenges/index.html</a> , printed June 9, 2003. Applicants believe this reference was published prior to January 9, 2003.
	A108	Axeda DRM Technology Overview, 1 page, obtained <a href="http://www.axeda.com/solutions/tech_challenges/standards.html">http://www.axeda.com/solutions/tech_challenges/standards.html</a> , printed June 9, 2003. Applicants believe this reference was published prior to January 9, 2003.
	A109	Axeda Solutions for Industry, 1 page, obtained <a href="http://www.axeda.com/solutions/industries/industrial.html">http://www.axeda.com/solutions/industries/industrial.html</a> , printed June 9, 2003. Archived at <a href="http://web.archive.org/web/20020221165907/http://axeda.com/industries/industrial.html">http://web.archive.org/web/20020221165907/http://axeda.com/industries/industrial.html</a> on Feb 21, 2002.
	A110	Axeda Agents, 2 pages, obtained <a href="http://www.axeda.com/solutions/device_servers/index.html">http://www.axeda.com/solutions/device_servers/index.html</a> , printed June 9, 2003. Applicants believe this reference was published prior to January 9, 2003.
	A111	Axeda Case Studies, "Axeda Prevents Power Failures at a Leading Microprocessor Plant in Israel", 2 pages, obtained <a href="http://www.axeda.com/industries/casestudies_microprocessor.html">http://www.axeda.com/industries/casestudies_microprocessor.html</a> , printed June 9, 2003. Applicants believe this reference was published prior to January 9, 2003.
<i>PM</i>	A112	David Mueller and Sandy Smith, Electrotek Concepts, "Using Web-based Power Quality Monitoring for Problem Solving and Improving Customer Relations", proceedings of the 4 <sup>th</sup> Annual Latin American Power 99 Conference, Jun. 29, 1999, pp. 263-271.

EXAMINER <i>[Signature]</i>	DATE CONSIDERED <i>9/15/05</i>
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PTO-1449	SERIAL NO. 10/752,467	CASE NO. 6270/105
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE January 5, 2004	GROUP ART UNIT 2125
(use several sheets if necessary)		APPLICANT(S): Ransom et al.

## REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	B1	5,181,026	01/1993	Granville		
	B2	5,956,220	09/1999	Novosel et al.		
	B3	6,285,917 B1	09/2001	Sekiguchi et al.		
	B4	6,694,270 B2	02/2004	Hart		

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO

EXAMINER INITIAL	OTHER ART - NON PATENT LITERATURE DOCUMENTS <small>(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.)</small>	

EXAMINER <i>Pure Rod</i>	DATE CONSIDERED <i>9/15/05</i>
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*References considered on 3/4/05 IDS*





10/752,467	SERIAL NO.	CASE NO.
10/752,467	10/752,467	6270/105
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE: January 5, 2004	GROUP ART UNIT 2125
(use several sheets if necessary)	APPLICANT(S): Douglas S. Ransom et al.	

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE	
	C1	US 2002/0120685 A1	08/29/02	Srivastava et al	709/203	04/10/02

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO

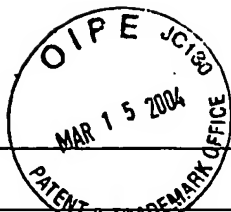
## OTHER ART - NON PATENT LITERATURE DOCUMENTS

EXAMINER INITIAL	(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.

EXAMINER <i>Paul Ransom</i>	DATE CONSIDERED <i>9/15/05</i>
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(use several sheets if necessary)		APPLICANT(S): Ransom et al.

REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	A1	4,589,075 ✓	05/13/86	Buennagel	364/492	02/23/83
	A2	4,641,248 ✓	02/03/87	Suzuki et al.	364/492	11/16/83
	A3	5,448,229 ✓	09/05/95	Lee, Jr.	340/870.02	12/28/92
	A4	5,459,459 ✓	10/17/95	Lee, Jr.	340/870.02	11/25/94
	A5	5,477,216 ✓	12/19/95	Lee, Jr. et al.	340/870.02	10/30/92
	A6	5,495,239 ✓	02/27/96	Ouellette	340/870.02	08/02/94
	A7	5,517,423 ✓	05/14/96	Pomatto	364/492	01/11/94
	A8	5,572,438 ✓	11/05/96	Ehlers et al.	364/492	01/05/95
	A9	5,576,700 ✓	11/19/96	Davis et al.	340/825.16	08/26/92
	A10	5,680,324 ✓	10/21/97	Schweitzer, III et al.	364/514	04/07/95
	A11	5,699,276 ✓	12/16/97	Roos	364/514	12/15/95
	A12	5,736,847 ✓	04/07/98	Van Doorn et al.	324/142	12/30/94
	A13	5,764,155 ✓	06/09/98	Kertesz et al.	340/825.08	04/03/94
	A14	5,862,391 ✓	04/19/99	Salas et al.	395/750.01	04/03/96
	A15	5,897,607 ✓	04/27/99	Jenney et al.	702/62	02/28/97
	A16	6,005,759 ✓	12/21/99	Hart et al.	361/66	03/16/98
	A17	6,035,285 ✓	03/07/00	Schlect et al.	705/30	03/05/99
	A18	6,088,659 ✓	07/11/00	Kelley et al.	702/62	05/21/98
	A19	6,118,269 ✓	09/12/00	Davis	324/110	04/15/97
	A20	6,167,389 ✓	12/26/00	Davis et al.	705/412	12/04/97
	A21	6,169,979 ✓	01/02/01	Johnson	705/412	02/17/98
	A22	6,178,362 B1 ✓	01/23/01	Woolard et al.	700/295	09/24/98
	A23	6,259,972 B1 ✓	07/10/01	Sumic et al.	700/286	01/16/98
	A24	6,313,752 B1 ✓	11/06/01	Corrigan et al.	340/657	05/21/98
	A25	6,327,541 B1 ✓	12/04/01	Pitchford et al.	702/62	06/30/98
	A26	6,535,797 B1 ✓	03/18/03	Bowles et al.	700/286	02/01/00
	A27	6,549,880 B1 ✓	04/15/03	Willoughby et al.	703/43	09/15/99
	A28	6,553,418 B1 ✓	04/22/03	Collins et al.	709/224	01/02/99
	A29	2001/0010032 ✓	07/26/01	Ehlers et al.	702/62	02/12/01
	A30	2001/0039537 A1 ✓	08/08/01	Carpenter et al.	705/400	03/02/01
	A31	2002/0077729 ✓	06/20/02	Anderson	700/291	12/20/00
	A32	2002/0091784 ✓	07/11/02	Baker et al.	709/208	12/15/00
	A33	2002/0116550 A1 ✓	08/22/02	Hansen	709/330	04/17/02
	A34	2002/0161536 ✓	10/31/02	Suh et al.	702/62	04/13/01
	A35	2003/0176952 A1 ✓	09/18/03	Collins et al.	700/286	03/13/03

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(use several sheets if necessary)		APPLICANT(S): Ransom et al.

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO

EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)
	A36 ✓ Qestra Applications Summary Data Sheet, pp. 1-2, 07/02/02.
	A37 ✓ Tridium™ Vykon™ Energy "JACE-401™" Product Data Sheet, pp. 1-2, 09/16/02.
	A38 ✓ Tridium™ Vykon™ Energy "Vykon Energy Profiler" Product Data Sheet, pp. 1-4, 10/16/01.
	A39 ✓ Schneider Electric Square D "Web-Enabled Power Management Solutions" brochure, pp. 1-8, January 2002
	A40 ✓ Tridium™ Vykon™ Building "Vykon™ Alarm Service" Product Data Sheet, pp. 1-2, 06/20/02.
	A41 ✓ Tridium™ Vykon™ Building "JACE-512™" Product Data Sheet, pp. 1-2, 05/15/02.
	A42 ✓ Tridium™ Vykon™ Building "JACE-511™" Product Data Sheet, pp. 1-2, 05/28/02.
	A43 ✓ Vykon™ By Tridium "JACE-NP-1®" Product Data Sheet, pp. 1-2, 05/28/02.
	A44 ✓ Vykon™ By Tridium "WorkPlace Pro™" Product Data Sheet, pp. 1-2, 01/12/01.
	A45 ✓ Vykon™ Building "Web Supervisor™" Product Data Sheet, pp. 1-2, 11/30/01.
	A46 ✓ A8800-1 AcquiSuite Data Acquisition System specification, pp. 1-2, 09/24/01.
	A47 ✓ LiveData® Real-Time Data Management For Energy and Utility Companies brochure, pp. 1-4, ©copyright 2002, Rev. 01/2002.
	A48 ✓ Tridium™ Vykon™ Energy "With the Right Tools Energy Costs are Controllable..." brochure, pp. 1-8, 11/01/01.
	A49 ✓ EnergyView™ "Energy Aggregation & Information System (EAS) for Monitoring and Analysis of Electric and Gas Demand", selected pages from an EnergyView website <a href="http://66.64.38.69/energyview">http://66.64.38.69/energyview</a> , pp. 1-4, 10/30/01.
	A50 ✓ Power Monitoring home page, obtained from <a href="http://www.parijat.com/Power_Monitoring.htm">http://www.parijat.com/Power_Monitoring.htm</a> , 10/09/02, one page.
	A51 ✓ Press Release 24 Aug. 2000 "Connect One Announces Industry's First Wireless Chip to Connect Mobile Devices to the Internet", pp. 1-2.
	A52 ✓ Press Release, "Connect One and NAMS Create the World's First Dial-up Energy Meter that Sends and Receives E-Mail Without a Gateway", September 5, 2000, pp. 1-2.
	A53 ✓ NAMS Metals by Nisko, NMM-AKB Specifications page 1 of 1, September 5, 2000.
	A54 ✓ Connect One "Automatic Meter Reading via the Internet", pp. 1-2, July 11, 2001
	A55 ✓ iModem™ "The Fastest Way to Internet-Enable any Device", pp. 1-3, July 11, 2001
	A56 ✓ ConnectOne™ Connecting your Device to the Internet™ iChip™ The Internet in your palm™, pp. 1-6, July 11, 2001.
	A57 ✓ Engage Networks Launches Energy Management Venture, obtained at internet address <a href="http://www.engagenet.com/content/business_journal.shtml">http://www.engagenet.com/content/business_journal.shtml</a> , 09/20/00. Appeared in Business Journal Feb 11, 2000.
	A58 ✓ Engage Networks Inc. "D-Gen" Distributed Generation Management brochure, pp. 1-4. Link present as of Dec 2, 1998 according to web archive at <a href="http://web.archive.org/web/19981205200934/www.engagenet.com/products.htm">http://web.archive.org/web/19981205200934/www.engagenet.com/products.htm</a>


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(use several sheets if necessary)		APPLICANT(S): Ransom et al.

EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)	
	A59 ✓	Engage Networks Inc. "Internet Protocol Card for Revenue Meters" brochure, pp. 1-2. Link present as of Mar 3, 2000 on <a href="http://web.archive.org/web/20010306005433/www.engagenet.com/content/products.shtml">http://web.archive.org/web/20010306005433/www.engagenet.com/content/products.shtml</a> . Original document archived at <a href="http://web.archive.org/web/20030520161648/http://www.engagenet.com/datasheets/ipcard.pdf">http://web.archive.org/web/20030520161648/http://www.engagenet.com/datasheets/ipcard.pdf</a> on May 20, 2003.
	A60 ✓	Engage Networks Inc. "AEM" (Active Energy Management) brochure, pp. 1-2. Link present as of Mar 3, 2000 on <a href="http://web.archive.org/web/20010306005433/www.engagenet.com/content/products.shtml">http://web.archive.org/web/20010306005433/www.engagenet.com/content/products.shtml</a> . Original document archived at <a href="http://web.archive.org/web/20030520161850/http://www.engagenet.com/datasheets/aem.pdf">http://web.archive.org/web/20030520161850/http://www.engagenet.com/datasheets/aem.pdf</a>
	A61 ✓	David W. Giles, "Direct-Access Metering Via the Web", 1998 CEA Conference, Toronto, Canada, pp. 1-19, © 1998 Power Measurement Ltd. Conference April 27-29/1998. Presented April 29/1998
	A62 ✓	GE Industrial Systems "EnerVista.com" brochure, 09/2000.
	A63 ✓	Press release, Santa Clara, CA 09/25/2000 "Connect One Reduces Time, Cost and Complexity to Connect Internet Appliances to Ethernet Lans", obtained at internet address <a href="http://www.connectone.com/html/prlsep25_2000.htm">http://www.connectone.com/html/prlsep25_2000.htm</a> .
	A64 ✓	Year 2000 Rediness Disclosure Arcom Control Systems, "Apex", obtained at internet address <a href="http://www.arcomcontrols.com/products/pcp/pcp10.htm">http://www.arcomcontrols.com/products/pcp/pcp10.htm</a> . Document archived at <a href="http://web.archive.org/web/20000530063150/www.arcomcontrols.com/products/pcp/pcp10.htm">http://web.archive.org/web/20000530063150/www.arcomcontrols.com/products/pcp/pcp10.htm</a> on May 30, 2000.
	A65 ✓	Dranetz BMI, Signature System™ "Information, Knowledge, Power" brochure. Applicants believe this reference was published prior to January 9, 2003.
	A66 ✓	Signature System™ Basics, obtained at internet address <a href="http://www.signaturesystem.com/sigbasics.html">http://www.signaturesystem.com/sigbasics.html</a> , 3/26/01. pp. 1-2.
	A67 ✓	Signature System™ InfoNodes, obtained at internet address <a href="http://www.signaturesystem.com/infonode.html">http://www.signaturesystem.com/infonode.html</a> , 3/26/01. pp. 1-2.
	A68 ✓	Questa A2B.Platform™ brochure, "Enabling conversation through universal connectivity", pp. 1-4, located at <a href="http://www.questa.com/h1_products/Questa_A2Bplatform">http://www.questa.com/h1_products/Questa_A2Bplatform</a> . Applicants believe this reference was published prior to January 9, 2003.
	A69 ✓	Questa A2B.Sales™ brochure, "Conversation to help you win", pp. 1-2, located at <a href="http://www.questa.com/h1_products/Questa_A2Bsales">http://www.questa.com/h1_products/Questa_A2Bsales</a> . Applicants believe this reference was published prior to January 9, 2003.
	A70 ✓	Power Point Presentation: Presented at "Metering Europe 2000," September 5-7, 2000, source <a href="http://www.energyict.com/fh/media/Presentation_Metering2000Munchen5.zip">http://www.energyict.com/fh/media/Presentation_Metering2000Munchen5.zip</a> , pp. 1-37.
	A71 ✓	Brochure, RTU+Server, source <a href="http://www.energyict.com/fh/media/tru_plus_server.pdf">http://www.energyict.com/fh/media/tru_plus_server.pdf</a> , pp. 1-3, 4/6/01. Document archived at <a href="http://web.archive.org/web/20020702060538/http://www.energyict.com/fh/media/tru_plus_server.pdf">http://web.archive.org/web/20020702060538/http://www.energyict.com/fh/media/tru_plus_server.pdf</a> Feb 7/2001. Link present on <a href="http://web.archive.org/web/20010207201520/www.energyict.com/fa/en_ab_frame.htm">http://web.archive.org/web/20010207201520/www.energyict.com/fa/en_ab_frame.htm</a> on or before Feb 7/2001.

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(use several sheets if necessary)		APPLICANT(S): Ransom et al.

EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)	
	A72 ✓	Brochure, EiServer, The Energy Information server, source <a href="http://www.energyict.com/fh/media/EiServer.pdf">http://www.energyict.com/fh/media/EiServer.pdf</a> , pp. 1-7, 7/14/00. Link present on or before Feb 7, 2001.
	A73 ✓	Advertisement, EiServer and RTU+Server, source, Metering International - 2001 Issue 1, page 19, publish date 1 <sup>st</sup> Quarter 2001, p. 1.
	A74 ✓	Article, "Providing Tomorrow's Energy Management and Metering Tools Today," source, Metering International - 2001 Issue 1, page 18, publish date 1 <sup>st</sup> Quarter 2001, p. 1.
	A75 ✓	"Email relating to the general technology of the application received from a competitor", from Erich W. Gunther [mailto:erich@electrotek.com] to Brad Forth@pml.com sent 5/9/01 3:36pm, pp. 1-2.
	A76 ✓	Electro Industries Press Release "Nexus 1250 High-Performance Power Monitor Gains Ethernet TCP/IP Capabilities," obtained at internet address <a href="http://www.electroindustries.com/feb5.html">http://www.electroindustries.com/feb5.html</a> , Feb. 5, 2001 pp. 1-2.
	A77 ✓	Electro Industries "Nexus 1250 for Industry and Utilities," brochure, obtained at internet address <a href="http://www.electroindustries.com/pdf/nxsbrochure.pdf">http://www.electroindustries.com/pdf/nxsbrochure.pdf</a> pp. 1-14 printed 06/01/01.
	A78 ✓	NetSilicon Solutions on Chip "NET+ARM™ Ethernet Processors" brochure, obtained at internet address <a href="http://www.netsilicon.com/EmbWeb/products/netarm.asp">http://www.netsilicon.com/EmbWeb/products/netarm.asp</a> , pp. 1-2, Copyright© 2001 NetSilicon, Inc. Document archived <a href="http://web.archive.org/web/20010719171514/www.netsilicon.com/embweb/products/netarm.asp">http://web.archive.org/web/20010719171514/www.netsilicon.com/embweb/products/netarm.asp</a> on July 19/2001 on July 19, 2001
	A79 ✓	EmWare® "Solutions Device Link," brochure, obtained at internet address <a href="http://www.emware.com/solutions/devicelink/">http://www.emware.com/solutions/devicelink/</a> , pp. 1-3, printed 06/01/01. Document archived <a href="http://web.archive.org/web/20010524222001/www.emware.com/solutions/devicelink/">http://web.archive.org/web/20010524222001/www.emware.com/solutions/devicelink/</a> May 24, 2001.
	A80 ✓	iReady® "Technology iReady's Hardwired TCP/IP Stack," homepage, obtained at internet address <a href="http://www.iready.com/">http://www.iready.com/</a> , p. 1, ©2000-2001 iReady corporation, printed 06/01/01. First publishes as early as March 1, 2001. <a href="http://web.archive.org/web/20010515202409/http://www.iready.com/">http://web.archive.org/web/20010515202409/http://www.iready.com/</a> link on right side to "technology/index.html" captured March 15, 2001.
	A81 ✓	muNet News & Events Press Releases "muNet makes cable industry debut with its WebGate™ Internet Residential and Commercial Information Systems, and HomeHeartBeat", <a href="http://www.munet.com">http://www.munet.com</a> , pp. 1-2, 12/15/99.
	A82 ✓	muNet News & Events Press Releases "muNet's WebGate™ Systems Finds a Home on the Internet!" <a href="http://www.munet.com">http://www.munet.com</a> , pp. 1-2, 03/18/99.
	A83 ✓	webGate™ IRIS Technology, products brochure pp. 1-9. Applicants believe this reference was published prior to January 9, 2003.
	A84 ✓	MuNet News & Events Press Release "muNet Demonstrates End-to-Enc IP-Based Energy Management System at DistribuTECH," Feb. 5, 2000, pp 1-2.
	A85 ✓	WebGate™ IRIS™ "Internet Residential Information System," p. 1 of 1 Published in Energy IT November/December 2000 Technology Info Center. See <a href="http://www.platts.com/infotech/issues/0011/eittic0011.shtml">http://www.platts.com/infotech/issues/0011/eittic0011.shtml</a> for this reference - search for munet.com.

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EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)	
	A86 ✓	WebGate™ ICIS™ "Internet Commercial Information System," p. 1 of 1 Published in Energy IT November/December 2000 Technology Info Center. See <a href="http://www.platts.com/infotech/issues/0011/eititc0011.shtml">http://www.platts.com/infotech/issues/0011/eititc0011.shtml</a> for this reference - search for mUNET.com.
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

*References considered on 3/4/05 IDS*

FORM PTO-1449	SERIAL NO. 10/752,467	CASE NO. 6270/105
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE January 5, 2004	GROUP ART UNIT Unassigned
(use several sheets if necessary)		APPLICANT(S): Ransom et al.

EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)	
	A100 ✓	Axeda DRM Technology Overview, 1 page, obtained <a href="http://www.axeda.com/solutions/tech_challenges/index.html">http://www.axeda.com/solutions/tech_challenges/index.html</a> , printed June 9, 2003. Applicants believe this reference was published prior to January 9, 2003.
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*References considered on 3/4/05 IDS.*